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Jeffrey D. Wilson

Lewis Research Center Cleveland, Ohio



Scientific and Technical Information Branch

Summary

A versatile large-signal, two-dimensional computer program is used by NASA to model coupled-cavity traveling-wave tubes (TWT's). In this model, the electron beam is divided into a series of disks, each of which is further divided into axially symmetric rings which can expand and contract. The trajectories of the electron rings and the radiofrequency (RF) fields are determined from the calculated axial and radial spacecharge, RF, and magnetic forces as the rings pass through a sequence of cavities. By varying electrical and geometric properties of individual cavities, the model is capable of simulating severs, velocity tapers, and voltage jumps. The calculated electron ring trajectories can be used in designing magnetic focusing and multidepressed collectors. The details of using the program are presented, and results are compared with experimental data.

Introduction

A one-dimensional computer model for a coupled-cavity traveling-wave tube was developed at NASA in the 1970's (refs. 1 and 2) and was used to design the traveling-wave tube for the NASA Communications Technology Satellite (CTS). In 1984, O'Malley (ref. 3) revised the model by simulating the electron beam with axially symmetric rings of electric charge which could expand or contract in the presence of a magnetic field. In the present study, the model has been further revised to allow (1) a tube with up to 200 cavities to be simulated, (2) magnetic pole pieces to be of different radii than the tunnel tips, and (3) magnetic periods to be independent of cavity periods in periodic permanent magnet (PPM) focusing designs. O'Malley referred to the model as threedimensional since the electron rings travel in the axial direction, expand and contract in the radial direction, and rotate in the azimuthal direction. However, since the rings are axially symmetric and there is no azimuthal dependence, it is more accurate to refer to the model as two-dimensional.

In this model, the electron beam is divided into a series of disks, each of which is further divided into axially symmetric rings. The trajectories of the electron rings and the RF fields are determined from the calculated axial and radial spacecharge, RF, and magnetic forces as the rings pass through a sequence of cavities. Each cavity has individually entered geometric and electrical parameters. This enables the model

to simulate severs, voltage jumps, and velocity taper designs.

With the original one-dimensional model, Connolly and O'Malley (ref. 1) showed that the calculated small-signal gain as a function of frequency for the CTS TWT compared well with the experimental data. Even better agreement is expected with the two-dimensional model because the radial space-charge, RF, and magnetic forces can be simulated. Furthermore, modeling both the axial and the radial component of the electron beam trajectory is essential in designing magnetic focusing or a multidepressed collector at the tube output.

In this report, a description of the physical formulation of the model is reviewed, and the mechanics of utilizing the computer program are detailed and updated. The accuracy of the two-dimensional model is verified by comparing its results with those of the one-dimensional model and with the experimental data for the CTS coupled-cavity traveling-wave tube.

Symbols

- a tunnel radius
- \bar{a} inner radius of magnet stack
- B magnetic field
- B_0 axial magnetic field at pole piece radius and midpoint of gap
- b₀ initial beam radius
- c velocity of light
- E electric field
- f frequency
- g half-length of Kth magnetic gap
- I_0 beam current
- j ring index (j = 1, 2, 3 refers to inner, middle, and outer ring)
- L cavity length
- ℓ_K half-length of K^{th} cavity gap
- m ring mass
- \bar{m} electric field shape parameter
- N_c number of cavities in TWT
- N_d number of disks in a beam wavelength
- N_z number of axial divisions in cavity

1

P	magnetic period
$P_{f,K}$	average power flow in forward direction
P_{in}	input power
\boldsymbol{q}	ring electric charge
R	number of rings per disk
r	centroid radius
<i>r</i> ̇̀	ring radial velocity
$r_{R,0}$	initial radius of outermost ring
$r_{in,j}$	inner radius of j^{th} ring
$r_{\text{out},j}$	outer radius of j^{th} ring
$t_i(z_{n,k})$	time of arrival of i^{th} ring at axial position z_{n}
$oldsymbol{U}$	relativistic factor
и	beam velocity
$V_{b,K}$	backward propagating voltage in K^{th} cavity
V_K	amplitude of voltage across gap in K^{th} cavity
$V_{f,K}$	forward propagating voltage in K^{th} cavity
V_0	beam voltage
$\Delta V_{b,K}$	backward induced voltage in Kth cavity
$\Delta V_{f,K}$	forward induced voltage in K^{th} cavity
v_p	wave phase velocity
Z_K	total interaction impedance for K^{th} cavity
z	axial distance
ż	ring axial velocity
Δz_K	length of axial division in K^{th} cavity, L_K/N_z
$\alpha+j\beta_1$	propagation constant
λ_e	beam wavelength
$\overline{\mu}$	magnetic field shape parameter
$\dot{oldsymbol{arphi}}$	ring azimuthal velocity
$\psi(r,z)$	axial magnetic flux through ring
ψ_c	cathode flux at outermost ring
ω	angular frequency

Subscripts

\boldsymbol{b}	backward
f	forward
in	inner
K,m	cavity numbers
out	outer
RF	radiofrequency
r	radial

SC space charge
VJ voltage jump z axial φ azimuthal
0 initial

Description of Model

The theory and mathematical derivation of the governing equations for the two-dimensional model is documented in detail by O'Malley (ref. 3). In this section, the procedure for the solution of these equations is reviewed and summarized.

Figure 1 illustrates the model. The electron beam is divided into a series of disks, each of which is divided into a maximum of four axially symmetric rings. The beam trajectory is described by following the rings contained in a single beam wavelength, which is equal to u_0/f , where u_0 is the initial beam velocity and f is the frequency of the RF signal. The axial thickness of all rings remains equal and constant at λ_e/N_d , where λ_e is the beam wavelength and N_d is the number of disks in a beam wavelength. The rings expand and contract radially and may penetrate each other in both the radial and axial directions. When the rings enter the tube they have the same charge and the same cross-sectional area. The axial and radial thickness of a ring is taken into account only in the modeling of beam interception and in the calculation of spacecharge forces. For all other forces, it is assumed that the charge and mass of a ring are concentrated at the ring's centroid radius. The initial centroid radii are given by

$$r_j = \sqrt{\frac{2j-1}{2R}}b_0$$
 $j = 1,...,R$ (1)

where R is the number of rings per disk and b_0 is the initial beam radius. The inner and outer radii of the rings are given by

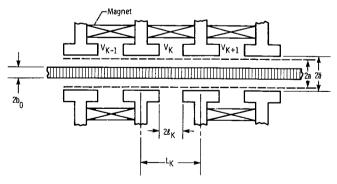


Figure 1.—Model of coupled-cavity traveling-wave tube.

$$r_{\text{in},j} = \sqrt{\frac{2j-2}{2i-1}}r_j$$
 $j = 1,...,R$ (2)

$$r_{out,j} = \sqrt{\frac{2j}{2j-1}}r_j$$
 $j = 1,...,R$ (3)

Note that the inside "ring" is actually a disk; that is, its inner radius is zero.

The tube body is modeled as a conducting tunnel of radius a divided axially into a series of discrete cavities, where the length of the K^{th} cavity is L_K . In the center of each cavity is a gap of length $2\ell_K$. Impressed across the K^{th} gap is a complex voltage $V_K e^{j\omega t}$.

The procedure for calculating the electron beam trajectories and cavity voltages in the model is summarized in the following steps:

- (1) The input data for the beam and cavities are read into the model. The details of entering the input parameters are presented in the next section.
- (2) Space-charge tables are calculated. The space-charge force on a ring of centroid radius r_1 and electric charge q_1 due to a ring of centroid radius r_2 and electric charge q_2 located an axial distance z away is given by equations (39) to (41) of reference 3. Using these equations for calculating space-charge forces on each integration step would be very time consuming. Thus space-charge tables are calculated for discrete values of r_1 , r_2 , z, and j (j is the ring index; for example, if there are three rings per disk, j=1,2, and 3 refers to an inner, middle, and outer ring, respectively). During the computer simulation, the space-charge force on one ring due to another is calculated by using linear interpolation with the appropriate table.
- (3) For the K^{th} cavity, tables of coefficients are calculated for the RF electric field, the voltage-jump electric field, and the beam-wave interaction field. These coefficients are evaluated for an array of r and z values and stored in tables when cavity K is entered. The tables are interpolated in a later step to calculate the forces.

In reference 3, the RF electric field coefficients are given by equations (59), (62), and (63); the voltage-jump coefficients by equations (74), (76), and (77); and the beam-wave interaction coefficients by equations (59) and (121).

(4) A first approximation for the induced voltage in cavity K due to the electron beam is calculated. The total induced voltage in the K^{th} cavity consists of a forward induced voltage component $\Delta V_{f,K}$, which propagates in the forward direction, and a backward induced voltage component $\Delta V_{b,K}$, which propagates in the backward direction. A first approximation to $\Delta V_{f,K}$ is obtained by assuming that the rings have constant velocities in the cavity.

Thus

$$t_i(z_{n,K}) = t_i(z_{1,K}) + \frac{dt_i(z_{1,K})}{dz} (z_{n,K} - z_{1,K})$$

$$n = 1, \dots, N_z$$
 (4)

where $t_i(z_{n,K})$ is the time of arrival of the i^{th} ring at the axial position $z_{n,K}$ and $dt_i(z_{1,K})/dz = 1/\dot{z}(z_{1,K})$ is the reciprocal of the velocity of the i^{th} ring at the axial position $z_{1,K}$. The axial position is defined by

$$z_{n,K} = \sum_{m=0}^{K-1} L_m + \left(n - \frac{1}{2}\right) \Delta z_K \begin{cases} n = 1, \dots, N_z \\ K = 1, \dots, N_c \end{cases}$$
 (5)

where N_z is the number of axial divisions in the cavity, N_c is the number of cavities in the TWT, L_m is the length of the m^{th} cavity, and Δz_K is the length of an axial division in the K^{th} cavity, where $\Delta z_K = L_K/N_z$. With the approximation of equation (4) the forward induced voltage $\Delta V_{f,K}$ is calculated from equations (126) and (127) of reference 3.

For a forward propagating wave in the passband of a uniform structure in the absence of the electron beam, Floquet's Theorem gives

$$V_{f,K} = e^{-(\alpha + j\beta_1)L} V_{f,K-1}$$
 (6)

where $V_{f,K}$ is the forward propagating voltage in the K^{th} cavity, $\alpha + j\beta_1$ is the complex propagation constant, and L is the cavity length. When the voltage induced by the beam is added and the differences in impedances between cavities are taken, the forward propagating voltage is

$$V_{f,K} = \sqrt{\frac{Z_K}{Z_{K-1}}} V_{f,K-1} e^{-(\alpha L)_{f,K-1}} e^{-j(\beta_1 L)_{K-1}} + \Delta V_{f,K}$$
(7)

where the factor $\sqrt{Z_K/Z_{K-1}}$ ensures that power flow is conserved. In the first cavity K = 1,

$$V_{f,1} = \sqrt{2Z_1 P_{\rm in}} + \Delta V_{f,1} \tag{8}$$

where Z_1 is the total interaction impedance and P_{in} is the input power.

(5) In this step, the ring trajectories are calculated from the equations of motion.

The axial and radial equations of motion are respectively

$$\frac{d^2z}{dt^2} = \beta \left\{ \frac{q}{m} \left(E_{RF,z} + E_{SC,z} + E_{VJ,z} - r\dot{\varphi}B_r \right) + U_z \right\}$$
(9)

$$\frac{d^2r}{dt^2} = \beta \left\{ \frac{q}{m} \left(E_{RF,r} + E_{SC,r} + E_{VJ,r} + r\dot{\varphi}B_z \right) + \frac{1}{\beta}r\dot{\varphi}^2 + U_r \right\}$$
(10)

where q is the ring electric charge; m is the ring mass;

$$\beta = \sqrt{1 - \frac{\dot{z}^2 + \dot{r}^2 + r^2 \dot{\varphi}^2}{c^2}} \tag{11}$$

where c is the velocity of light;

$$\dot{\varphi} = \dot{\varphi}_0 - \frac{q}{m} \left[\frac{\psi(r,z) - \psi(r_0,z_0)}{2\pi r^2} \right] \beta \tag{12}$$

where $\psi(r,z)$ is the axial magnetic flux through the ring; B_z , B_r , and B_φ are axial, radial, and azimuthal components of the magnetic field, respectively; $E_{RF,z}$ and $E_{RF,r}$ are axial and radial RF electric field; $E_{VJ,z}$ and $E_{VJ,r}$ are axial and radial voltage-jump electric field; $E_{SC,z}$ and $E_{SC,r}$ are axial and radial space-charge electric field; and U_z and U_r are axial and radial relativistic factors.

The initial axial velocity for each ring in cavity K = 1 is

$$\dot{z}_0 = \sqrt{u_0^2 - \left(\frac{\sqrt{2}}{2} b_0 \dot{\varphi}_0\right)^2} \tag{13}$$

where b_0 is the initial beam radius, and the initial beam velocity is

$$u_0 = c \sqrt{1 - \frac{1}{\left(\frac{q}{m} \middle| V_0\right)^2}}$$

$$\left(1 + \frac{q}{m} \middle| V_0\right)^2$$

$$c^2$$
(14)

where c is the velocity of light, |q/m| is the charge to mass ratio, and V_0 is the beam voltage. The initial azimuthal velocity of each ring is

$$\dot{\varphi}_0 = \frac{-\left|\frac{q}{m}\right|\psi_c}{2\pi r_{R0}^2} \tag{15}$$

where ψ_c is the cathode flux at the outermost ring and $r_{R,0}$ is the initial radius of the outermost ring.

The cavity is divided into N_z equal parts of length Δz_K . By using finite differences with z as the independent variable, equations (9) and (10) are integrated to the end of the cavity. At the end of each integration step, the solution is checked for beam interception. If the outer radius of a ring exceeds the tunnel radius a, then the outer radius is redefined to be a, and the portion of the ring located beyond r = a is assumed to be intercepted. The centroid radius for the remaining partial ring is redefined by

$$r_j = \sqrt{\frac{r_{\text{in},j}^2 + r_{\text{out},j}^2}{2}}$$
 (16)

- (6) After the beam ring trajectories have been calculated for a cavity, a better approximation to the induced voltage is obtained from equations (126) and (127) of reference 3. If the new value of $V_{f,K}$ differs from the old value by more than a predetermined amount (expressed by TOLDV in input), step 5 is repeated until the value converges. Then the backward induced voltage is calculated from equations (124) and (128) of reference 3. The $\Delta V_{b,K}$'s are stored for use in the next pass through the tube.
- (7) Steps 3 through 6 are repeated for each cavity in succession to the end of the tube. If cavity K + 1 has the same input parameters as cavity K, the tables in step 3 do not need to be recalculated.
- (8) After $\Delta V_{b,K}$ for the last cavity $K = K_2$ is calculated, the backward voltages are determined for all the cavities from the stored $\Delta V_{b,K}$'s. For the last cavity

$$V_{b,K_2} = \Delta V_{b,K_2} \tag{17}$$

Then proceeding backwards to the first cavity K = 1

$$V_{b,K} = \Delta V_{b,K} + V_{b,K+1} \sqrt{\frac{Z_K}{Z_{K+1}}} e^{-(\alpha L)_{b,K}} e^{-j(\beta_1 L)_K}$$

$$K = K_2 - 1, K_2 - 2,...,1$$
 (18)

(9) After all the $V_{b,K}$'s have been calculated, a second pass is made through the tube. The second pass yields a new set of $\Delta V_{b,K}$ that can be used for calculating a set of $V_{b,K}$ for a third pass. As many passes as necessary to obtain convergence are performed.

Model Input

Three types of magnetic focusing fields can be modeled: (1) uniform solenoid focusing, (2) single-period periodic permanent magnetic (PPM) focusing, and (3) double-period PPM focusing.

For uniform solenoid focusing, the magnetic field is given by

$$B_{r}(r,z) = B_0 \tag{19}$$

$$B_r(r,z) = 0 (20)$$

where the constant B_0 (B0) is a program input.

Figure 2 shows the geometry and axial magnetic field for single-period PPM focusing. The gap length between magnets is 2g (TWOGCM), the inner radius of the magnet stack is \bar{a} (ABARCM), the magnetic period is P (LMAGCM), and the

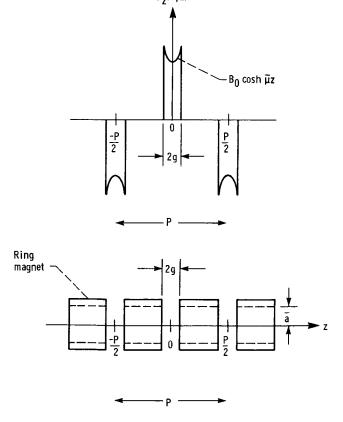


Figure 2.—Geometry and axial magnetic field for single-period PPM focusing.

magnetic shaping factor is $\bar{\mu}$ (MU). The axial magnetic field at the pole piece radius and midpoint of the gap is B_0 (B0). The magnetic field components $B_z(r,z)$ and $B_r(r,z)$ are then given by

$$B_z(r,z) = \sum_{n=1}^{\infty} a_n I_0(k_n r) \cos(k_n z)$$
 (21)

$$B_r(r,z) = \sum_{n=1}^{\infty} a_n I_1(k_n r) \cos(k_n z)$$
 (22)

where $I_0(k_n r)$ and $I_1(k_n r)$ are modified Bessel functions,

$$k_n = \frac{2\pi}{P} \tag{23}$$

with P the magnetic period, and

$$a_n = \begin{cases} \frac{8B_0[\overline{\mu} \sinh \overline{\mu}g \cos k_n g + k_n \cosh \overline{\mu}g \sin k_n g]}{P(\overline{\mu}^2 + k_n^2)I_0(k_n \overline{a})}, \\ n \text{ odd} \\ 0, n \text{ even} \end{cases},$$

Alternatively to entering $B_z(r,z)$ at $r = \overline{a}$, measured on-axis values $B_z(0,z)$ over a magnetic period can be entered (as the input parameter BZDATA).

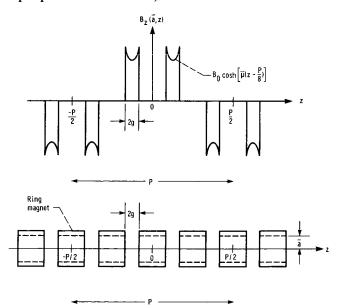


Figure 3.—Geometry and axial magnetic field for double-period PPM focusing.

Figure 3 shows the geometry and axial magnetic field for double-period PPM focusing. The magnetic field is given by equations (21) and (22) with

$$a_{n} = \begin{cases} \frac{2 \cos \frac{n\pi}{4} (8B_{0}) (\overline{\mu} \sinh \overline{\mu}g \cos k_{n}g + k_{n} \cosh \overline{\mu}g \sin k_{n}g)}{P(\overline{\mu}^{2} + k_{n}^{2}) I_{0}(k_{n}\overline{a})}, & n \text{ odd} \\ 0, & n \text{ even} \end{cases}$$

$$(25)$$

	measured on-axis values $B_z(0,z)$ over a d may be entered instead.	FREQGH I0BMA JSCF	Frequency, GHz Beam current at tube entrance, I_0 , mA Number of integration steps between cal-
Descriptions follows:	of the program input parameters are given as	KAV13	culations of space-charge forces Number of the first cavity in the two-
Name	Description		dimensional region KAV13 = 1: two-dimensional model
ABARCM	Inner radius, \bar{a} , of magnet stack from iron pole piece to tube axis, cm. ABARCM needs to be loaded only if NBZDAT = 0	KIMP	KAV13 = LASTCV + 1: one-dimensional model KIMP = 0: Pierce impedance is entered as
ACM ALPHL(K)	Tunnel radius, a , cm Power attenuation of forward wave in going from cavity K to cavity $K + 1$, dB/cavity;		input in ZIMP KIMP = 1: total impedance is entered as input in ZIMP
	K = 1, LASTCV. To simulate a sever, a large value (e.g., 350 dB) is chosen for ALPHL in the sever cavity	KLMAG	KLMAG = 0: cavity lengths and magnetic sections coincideKLMAG = 1: cavity lengths and magnetic sections do not coincide
ALPHLR(K)	K) Power attenuation of backward wave in going from cavity $K + 1$ to cavity K , dB/cavity; $K = 1$, LASTCV. ALPHLR needs to be loaded only if KLOSS = 1	KLOSS	KLOSS = 0: ALPHLR(K) is set equal to ALPHL(K); thus ALPHLR(K) does not need to be loaded. This is the usual case KLOSS = 1: the backward wave attenuation
B0(K)	Axial magnetic field at inner radius of magnet stack and midpoint of gap in K^{th} magnetic section, B_0 , T; $K = 1$, LASTMG. B0(K) needs to be loaded only if NBZDAT = 0	КРРМ	is given by ALPHLR(K) KPPM = 1: single-period PPM focusing is to be used KPPM = 2: double-period PPM focusing is to be used
B1LDP(K)	Phase shift of voltage for cavity K divided by π , $(\beta_1 L)_K/\pi$, rad; $K = 1$, LASTCV	KPRINT	KPRINT = 0: print input data KPRINT = 1: do not print input data
BCM BZDATA(I)	magnetic field on the axis for NBZDATA equally spaced data points. $I = 1$ corre-	KREL	KREL = 0: use relativistic equations of motion KREL = 1: use nonrelativistic equations of motion
	sponds to the beginning of a magnetic section, and I = NBZDATA + 1 corresponds to the end of a section. BZDATA(I) needs to be loaded only if	KSMSIG	KSMSIG = 0: do not print small-signal parameters KSMSIG = 1: print small-signal parameters
DRDZ(I)	NBZDAT≠0 Initial value of dr/dz for I th ring; I = 1, NRINGS. I = 1 corresponds to the innermost ring	KSPACE	KSPACE = 0: calculate space-charge forces KSPACE = 1: set space-charge forces equal to zero

KSOLEN	KSOLEN = 0: use PPM focusing KSOLEN = 1: use solenoid focusing; $B_z = B0$, $B_r = 0$	NIDSWA	needs to be loaded only if NBZDAT = 0. For a ratio equal to one, set $MU = 1$
KVEL(I)	Number of cavity for I th printout of normalized axial velocities of rings;	NBWM	NBWM = 0: no backward wave NBWM > 0: number of last cavity con- sidered in calculating backward wave
	I = 1, NVEL	NBZDAT	NBZDAT = 0: magnetic fields are deter-
KWRITM	KWRITM = 0: do not print normalized masses of rings		mined by input data B0 and MU NBZDAT > 0: magnetic fields are deter-
	KWRITM = 1: print normalized masses of rings whenever normalized axial velocities of rings are printed		mined from experimental data. The num- ber of experimental data values for the axial magnetic field on the axis is
KWRITV	KWRITV = 0: do not print normalized	NGATIO	$NBZDAT + 1 (NBZDAT \le 100)$
	radial velocities of rings KWRITV = 1: print normalized (to initial	NCAVSS	Number of cavity for which small-signal parameters are calculated
	axial velocity) radial velocities of rings whenever normalized axial velocities of	NDISKS	Number of disks in beam wavelength (NDISKS ≤ 24)
LACTOV	rings are printed	NMAX	Number of terms in summations stored in
LASTCV LASTMG	Number of last cavity (LASTCV ≤ 200) Number of magnetic sections (LASTMG ≤ 200). A magnetic section is one-half		tables, excluding space-charge force tables and magnetic field tables $(NMAX \le 40)$
	of the magnetic period for single-period	NPGRID	Number of grid points in radial direction
L CID CLUU	PPM focusing and one-fourth of the magnetic period for double-period PPM focusing		is NPGRID + 1. Refers only to the grid for calculating forces on rings due to electric and magnetic fields (NPGRID
LCIRCM(K)	Length of K^{th} cavity, L_K , cm; $K = 1$, LASTCV	NPSC	≤ 20) Number of grid points in radial direction
LGAPCM(K)	Length of gap in K^{th} cavity, $2\ell_K$, cm; $K = 1$, LASTCV	THI GC	is NPSC $+ 1$. Refers only to grid for calculating forces on rings due to space-
LMAGCM(K)	Length of K^{th} magnetic section, cm; K = 1, LASTMG. LMAGCM(K) needs to be loaded only if KLMAG = 1	NRINGS NVEL	charge fields (NPSC ≤ 20) Number of rings per disk (NRINGS ≤ 4) Number of cavities for which ring axial
MSHAPE(K)	Electric field shape parameter for K^{th}	11122	velocities are printed out
	cavity, \bar{m} , m ⁻¹ ; K = 1, LASTCV; with ratio	NXGRD1	Number of grid points in axial direction per cavity in one-dimensional region (NXGRD1 ≤ 64). NXGRD1 must be a multiple of four
	$\frac{E_z(a, z_{\text{gap edge}})}{E_z(a, z_{\text{gap center}})} = \cosh(\bar{m}\ell) $ (26)	NXGRD3	Number of grid points in axial direction per cavity in two-dimensional region (NXGRD3 ≤ 64). NXGRD3 must be a
	where $\bar{m} = \text{MSHAPE}(K)$ and ℓ is half- length in meters of K^{th} cavity gap. (This formulation is discussed in detail in reference 4.) For blunt tunnel tips, MSHAPE = 1	NXMAG	multiple of four Number of grid points in axial direction per magnetic section (NXMAG ≤ 64, normally the same as NXGRD3). NXMAG must be a multiple of four. NXMAG needs to be loaded only if
MU(K)	Magnetic field shape parameter for K^{th} magnetic section, $\bar{\mu}$, m ⁻¹ ; K = 1,		KLMAG ≠ 0
	LASTMG; with ratio	PCDPA	Cathode flux parameter is the ratio of the magnetic flux linking a disk defined by the beam's radius at the cathode to that
	$\frac{B_z(\overline{a}, z_{\text{gap edge}})}{B_z(\overline{a}, z_{\text{gap center}})} = \cosh(\overline{\mu}g) $ (27)		linking a disk defined by its radius at the input plane of the interaction region
	$B_z(a, Z_{\text{gap center}})$	PINDBM	Input power, P_{in} , dBm
	where $\overline{u} = MIJ(K)$ and a is half length in	TOLDV	Error criterion for determining whether an
	where $\overline{\mu} = MU(K)$ and g is half-length in meters of K^{th} magnetic gap. $MU(K)$		additional pass through a cavity is required. If

	$\frac{\Delta V_{f,\text{new}} - \Delta V_{f,\text{old}}}{V_{f,\text{new}}} > \text{TOLDV} $ (28)
	then an additional pass is made. Typical value, 0.025
TOLSC	Error criterion for determining whether the frequency of calculating space-charge forces should be doubled (ref. 3). Typical value, 0.025
TOLTBL	error criterion used in electric and magnetic field tables for determining the number of terms to include in series (ref. 3). Typical value, 0.001
TWOGCM(K)	Length of gap in K^{th} magnetic section, $2g$, cm; $K = 1$, LASTMG
V0B	Beam voltage, V_0 , V
VJUMP(K)	The dc voltage jump for K^{th} cavity, V; $K = 1$, LASTCV
ZIMP(K)	KIMP = 0: ZIMP(K) is Pierce, or beam- coupling, impedance in ohms for the K th cavity (ref. 5, eq. (10.1.19)); K = 1, LASTCV KIMP = 1: ZIMP(K) is the total inter- action impedance in ohms for the K th

defined by

$$Z_K = \frac{V_K^2}{2P_{f,K}}$$
 (29)

where V_K is the amplitude of the voltage across the gap and $P_{f,K}$ is the average power flow in the forward direction

cavity; K = 1, LASTCV. The total interaction impedance for the K^{th} cavity is

Model Output

The program output includes three parts: the printing of small-signal parameters (if KSMSIG = 1), a cavity-by-cavity printing of selected data, and the printing of data on ring dynamics at selected cavities.

The small-signal parameters (refs. 5 and 6) are as follows:

Name	Description
U0	Initial beam velocity, u_0 , m/sec
BEB	Product of beam propagation constant and
	beam radius, $(\omega/u_0)b_0$, where ω is angular frequency and b_0 is initial beam radius
B1B	Product of wave propagation constant and
	beam radius, $(\omega/v_p)b_0$, where v_p is wave phase velocity

KP	Pierce impedance, Ω			
ZC	Total impedance, Ω			
C	Pierce's gain parameter, C			
В	Pierce's b , $(u_0 - v_p)/v_p C$			
D	Pierce's loss parameter, d			
DGAIN	Small-signal gain per cavity, dB/cavity			
QC	Pierce's space-charge parameter, QC/C			
A1A2	Launching loss, $A_1 + A_2$, dB			
	g data are printed for each cavity:			
Name	Description			
CAV	•			
	Cavity number			
VMAG	Magnitude of gap voltage, V			
ISMAG	Magnitude of induced current normalized to input current			
ISPHA	Phase of normalized induced current divided by π , rad			
LSGAIN	Large-signal gain, dB			
POUT	Output power divided by I_0V_0			
AVERHO	Average of the ring centroid radii divided by tunnel radius			
RMSANG	Root mean square (RMS) value of the			
RMSANG	angle that the velocity vectors of the rings make with the axis, deg			
RMSVEL	Root mean square (RMS) value of the normalized radial velocities of the rings with respect to the initial axial velocity			
PKE	Change in beam kinetic power from initial beam kinetic power divided by I_0V_0			
INTRC	Power loss due to beam interception divided by I_0V_0			
PRF	Power in forward wave divided by I_0V_0			
PBW	Power in backward wave divided by I_0V_0			
PLC	Cumulative power loss (except for loss due			
TLC	to beam interception), divided by I_0V_0			
PBAL	Power balance equal to			
	·			
$_{1}$ + $\frac{PKE - PJ}{}$	$\frac{\text{UMP} + \text{PRF} + \text{PBW} + \text{PLC} + \text{INTRC}}{(30)}$			
1 1	I_0V_0			
	where PJUMP is the cumulative power due			
	to all the voltage jumps up to the current cavity			
SC	Number of integration steps between cal-			
50	culations of space-charge forces			

At selected cavities, the following data are printed:

Normalized axial velocities	Axial velocities normalized with respect to the initial
	beam velocity
Normalized radial velocities	Radial velocities normalized
	with respect to the initial
	beam velocity. Printed only
	if $KWRITV = 1$

Normalized ring masses

Ring masses normalized with respect to initial ring mass.

Printed only if KWRITM

Ring radius divided by a

Ring radii normalized with respect to tunnel radius a

Modeling the CTS Tube

To test the model, it was used to simulate the Communications Technology Satellite (CTS) traveling-wave tube (ref. 7), which is a 200-W, 12-GHz TWT with 58 cavities and 3 sections, with the output section containing 3 velocity tapers. The geometric dimensions and electrical parameters from 12.00 to 12.20 GHz in 50-MHz intervals for each of the 58 cavities are given in tables I to IV of reference 1. A sample input dataset and its resulting output are shown in the appendix.

Figure 4 shows the experimental small-signal gain as a function of frequency at 0-dBm input power drive, 11.3-kV cathode voltage, and 71-mA beam current (ref. 1). Connolly and O'Malley compared this curve to that obtained with their one-dimensional model. Their results can be duplicated by setting KAV13 = 59, wherein this model reverts back to one-dimensional. The small-signal gain as a function of frequency both with and without a backward wave included is shown in figure 4. These results duplicate those of Connolly and O'Malley. The results without backward wave are simply those

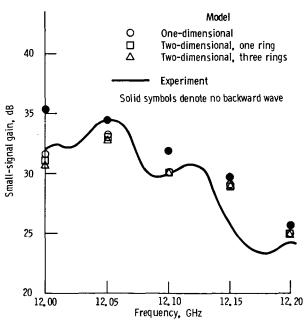


Figure 4.—Model and experimental results for small-signal gain as function of frequency for Communications Technology Satellite traveling-wave tube. (PINDBM = 0, V0B = 11 300, IOBMA = 71.)

obtained after just one integration pass through the tube. To obtain the results with backward wave, multiple passes through the tube were performed until the solution converged. At the low end of the frequency band there was a significant difference between results with and without backward wave, and approximately ten passes were needed to obtain convergence. Through the rest of the frequency band the backward wave did not make a significant contribution, and only a few passes were needed.

The one-dimensional model with backward wave simulates experimental small-signal gain as a function of frequency very well except for a ripple. It is believed that this ripple was primarily due to wave reflections between the output coupling cavity and the last sever. The model does not presently have the capability to model this reflection.

To utilize the two-dimensional capability of the model, it is necessary to specify the magnetic field. A double-period PPM design is used in which there are four cavities per magnetic period. The inner radius of the iron magnetic pole pieces is equal to that of the tunnel radius and gives ABARCM = ACM = 0.0635. Figure 5 (from ref. 7) shows that the measured magnetic field at the axis was approximately 0.15 T over the first 29 cavities and 0.22 T over the second 29 cavities. For double-period PPM focusing, the program requires that the magnetic field input data be grouped in sections of four. Thus to model this magnetic focusing, the input parameter B0 (which is specified at the inner radius of the magnet stack) was chosen to give a maximum magnetic field at the axis equal to 0.1500 T over the first 28 cavities and equal to 0.2200 T over cavities 29 through 58. To determine the proper value of B0, the ratio between the maximum magnetic field at the magnet stack radius to that at the axis needs to be calculated from

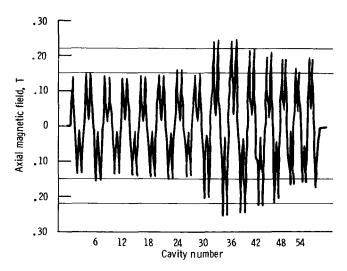


Figure 5.—Experimental axial magnetic field at axis of Communications Technology Satellite traveling-wave tube (from ref. 6).

$$\frac{B_0(r = \overline{a}, z = \text{gap center})}{B_z(r = 0, z = \text{gap center})} = \frac{\sum_{n=1}^{\infty} a_n I_0(k_n \overline{a})}{\sum_{n=1}^{\infty} a_n}$$
(31)

where a_n is determined from equation (24). For this tube the ratio is 1.25, and thus the input is determined to be 0.1875 T for the first 28 sections and 0.2750 T for the next 30 sections (B0 = 28*0.1875, 30*0.2750).

With the above magnetic field specifications, the twodimensional model was run at small signal (PINDBM = 0) with both one ring and three rings (fig. 4). Results for both one and three rings were virtually identical to that for the onedimensional model. This gives confidence that the model code is error free and also indicates that the one-dimensional model is adequate to determine the small-signal gain.

Next, results at saturation at the center frequency of 12.10 GHz were compared. To determine the input power needed for saturation, runs were made scanning power input (PINDBM) with the one-dimensional specification. The resulting efficiency as a function of input power plot of figure 6 indicates that saturation occurs for an input power of 24 dBm. This compares well with the experimentally obtained value of 23 dBm.

At saturation (PINDBM = 24), the two-dimensional model with one, two, three, and four rings was compared with the one-dimensional model and experimental data, and the results are shown in table I. The results from all the models compare well with experiment in gain and beam efficiency. The experimental results indicate an interception of 6 percent, whereas the two-dimensional models have only 1-percent interception. This is probably because thermal electrons were not taken into account.

TABLE I. – GAIN, BEAM EFFICIENCY, AND INTERCEPTION FOR ONE- AND TWO-DIMENSIONAL MODELS AND EXPERIMENT

[CTS TWT at saturation at 12.10 GHz.]

Model	Gain, dB	Beam efficiency, percent	Interception, percent
One-dimensional	29.4	27.3	0
Two-dimensional			
One ring	28.8	23.6	1
Two rings	28.8	24.0	1
Three rings	28.8	23.8	1
Four rings	29.0	24.9	1
Experiment	30.4	24.9	6

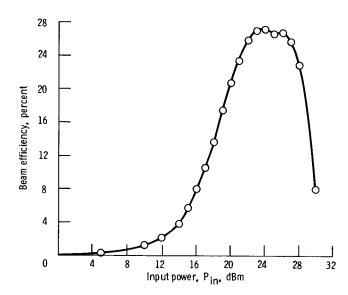


Figure 6.—Beam efficiency as function of input power for one-dimensional model with backward wave at frequency 12.10 GHz for Communications Technology Satellite traveling-wave tube.

A small decrease occurs in both the gain and efficiency in going from the one- to two-dimensional model. This is primarily due to the beam interception in the two-dimensional model. There is virtually no difference among the results with one, two, and three rings. This gives added confidence that the code is error free and indicates that the one-ring model is adequate for modeling the two-dimensional electron trajectories. The accuracy is only minimally improved by increasing the number of rings.

Conclusions

The NASA large-signal, two-dimensional computer model for a coupled-cavity traveling-wave tube was described, and details of specifying the input were given. The model was tested by comparing its results to those of the one-dimensional model and experimental data for the CTS TWT. The agreement was excellent at both small-signal and saturation.

It was found that the one-ring, two-dimensional model gave very good results, and accuracy was not improved measurably when more rings were used. Although the one-dimensional model is adequate for calculating gain and efficiency, the two-dimensional model computes the trajectories necessary for designing the magnetic focusing and calculating the beam entrance conditions required for multistage collector design.

Lewis Research Center National Aeronautics and Space Administration Cleveland, Ohio, October 28, 1986

Appendix-Sample Dataset for CTS TWT at 12.10 GHz

Input

This input dataset corresponds to the two-dimensional, onering run at 12.10 GHz of figure 4. The number of passes is controlled by the number of "&INPUT &END" lines at the end. A negative value of ACM causes the program to terminate. As written, this file makes five passes through the tube. Changing KAV13 to 59 results in one-dimensional calculations.

```
&INPUT
ABARCM=.0635,
ACM=.0635.
ALPHL=2*0.1,7*.06,2*.1,350.,2*.1,11*.06,2*.1,350.,2*.1,
9*.06, 4*.065, 2*.07, .075, 5*.08, .09, .1, 2*.11, 3*.1,
BO=28*.1875,30*.275,
B1LDF=1.51,1.36,7*1.23,1.36,3*1.51,1.36,11*1.23,1.36,3*1.51,
1.36,9*1.23,3*1.25,5*1.25,9*1.24,1.36,1.51,
BCM=.03175.
DRDZ=4*0.0,
FREQGH=12.10.
IOBMA=71.0,
JSCF=1,
KAV13=1,
KIMP=1,
KLMAG=0,
KLOSS=0.
KPPM=2,
KPRINT=0,
KREL=0.
KSMSIG=0.
KSPACE=0,
KSOLEN=0,
KVEL=58.
KWRITM=1,
KWRITV=1,
LASTCV=58,
LASTMG=58.
LCIRCM=39*0.3175,3*0.3048,0.2985,0.2921,0.2794,0.2667,
5*0.2540,0.2413,0.2286,5*0.2159,
LGAPCM=39*0.0965,3*0.0914,0.0899,0.0879,0.0843,0.0808,
5*0.0772,0.0739,0.0701,5*0.0660,
MSHAPE=58*1.
```

```
MU=58*1.,
NBWM=58,
NBZDAT=0.
NCAVSS=1.
NDISKS=24,
NMAX=40.
NPGRID=20.
NPSC=20,
NRINGS=1,
NVEL=1,
NXGRD1=16,
NXGRD3=16.
NXMAG=16,
PCDPA=0.,
PINDBM=0.,
TOLDV=.025,
TOLSC=.025,
TOLTBL=1.E-03.
TWOGCM=39*0.0965,3*0.0914,0.0899,0.0879,0.0843,0.0808,
5*0.0772,0.0739,0.0701,5*0.0660,
VOB=11300.,
VJUMP=58*0..
ZIMP=400.,780.,1375.,6*1725.,1375.,
780.,2*400.,780.,1375.,10*1725.,1375.,780.,2*400.,780.,
1375.,8*1725.,3*1795.,1710.,1620.,1530.,1440.,5*1350.,
1240.,1130.,2*1015.,810.,500.,300.
                                       &END
&INPUT &END
&INPUT &END
&INPUT &END
&INPUT &END
&INPUT &END
&INPUT ACM=-1000. &END
```

ORIGINAL PAGE IS OF POOR CHALITY

1 0.82220E+00 0.0000 -0.8377 2 0.13366E+01 0.0001 0.1510 3 0.15219E+01 0.0003 0.8426 4 0.17207E+01 0.0007 0.4550 5 0.19282E+01 0.0012 0.2624 6 0.19443E+01 0.0019 0.9943 7 0.17384E+01 0.0029 -0.2629 8 0.17489E+01 0.0052 -0.7638 10 0.19957E+01 0.0052 -0.7638 11 0.16518E+01 0.0081 0.7353 12 0.14358E+01 0.0086 -0.5157 13 0.43992E+00 0.0111 0.2332 14 0.74020E+00 0.0124 0.9827 15 0.1171E+01 0.0157 -0.2650 16 0.29457E+01 0.0147 0.4852 17 0.44361E+01 0.0157 -0.2650 18 0.49033E+01 0.0157 -0.2650 18 0.49033E+01 0.0157 -0.2650 20 0.61483E+01 0.0163 -0.5639 21 0.79287E+01 0.0167 0.1524 22 0.85851E+01 0.0167 0.8552 22 0.85851E+01 0.0174 0.8552 23 0.83668E+01 0.0179 -0.46469	-0.0537 0.0000 0.0351 0.0000 0.1858 0.0000 0.9003 0.0000 1.4966 0.0000 2.3989 0.0000 3.2458 0.0000 -7.6348 0.0000 -7.6348 0.0000 4.7670 0.0000 4.7670 0.0000 4.7670 0.0000 10.2349 0.0000 10.2349 0.0000 11.5695 0.0000 12.5173 0.0000	0.3485 0.0 0.3345 0.0 0.3345 0.0 0.2943 0.0 0.2274 0.0 0.2274 0.0 0.2841 0.0 0.3239 0.0 0.3239 0.0 0.3418 0.0 0.3523 0.0	RMG RMSVEL 0643 0.0020 0.0000 0112 0.0020 0.0000 0112 0.0004 -0.0001 132 0.0039 -0.0003 085 0.0027 -0.0005 051 0.0014 -0.0002 128 0.0042 -0.0003 028 0.0042 -0.0003 028 0.0009 0.0000 087 0.0028 0.0009 0.0000 0125 0.0000 0000 087 0.0028 0.0001 0125 0.0009 0.0000 0125 0.0009 0.0000 0125 0.0009 0.0000 0125 0.0009 0.0000 011 0.0009 0.0000 011 0.0003 110 0.0005 011 0.0005 0.0005 011 0.0003 0.0005 011 0.0003 0.0005 011 0.0003 0.0005 011 0.0003 0.0005 011 0.0003 0.0005 011 0.0003 0.0005 011 0.0003 0.0005 0.0005 011 0.0003 0.0005 0.0005 011 0.0003 0.0005 0.0005 011 0.0003 0.0005 0.000	INTRC	0.0000 0.000 0.0000 0.0000 0.0000 0.000	0 1.000 1 0 1.000 1
24	14.2926 0.0000 15.1155 0.0000 15.18512 0.0000 16.6654 0.0001 17.3924 0.0001 5.3045 0.0000 10.6878 0.0000 14.88043 0.0000 14.88043 0.0000 12.6534 0.0000 12.4240 0.0002 22.8286 0.0002 23.92874 0.0000 24.9305 0.0000	0.3475 0.0 0.3574 0.0 0.3575 0.0 0.3578 0.0 0.3188 0.0 0.2781 0.0 0.2781 0.0 0.1249 0.0 0.1249 0.0 0.1249 0.0 0.1629 0.0 0.2323 0.0 0.3229 0.0 0.3229 0.0 0.3220 0.0	071 0.0023 -0.0001 011 0.0004 0.0000 054 0.0001 -0.0001 105 0.0013 -0.0001 105 0.0014 0.0001 105 0.0014 -0.0003 376 0.0014 -0.0005 1376 0.0014 -0.0015 1313 0.0010 -0.0017 1313 0.0100 -0.0017 1313 0.0100 -0.0017 1313 0.0010 -0.0017 1313 0.0010 -0.0010 1311 0.0019 -0.0016 1311 0.0013 -0.0005 041 0.0013 -0.0005 041 0.0013 -0.0005 1430 0.0137 -0.0018 180 0.0057 -0.0018	0.0000 0.0000 0.0000 0.0000 0.0000 0.0000 0.0000 0.0001 0.0000 0.0001 0.0000 0.0000 0.0000 0.0000	0.0000 0.000 0.0000 0.000 0.0000 0.000 0.0000 0.000 -0.0001 0.000 -0.0001 0.000 -0.0002 0.000 -0.0002 0.000 -0.0002 0.000 -0.0002 0.000 -0.0002 0.000 -0.0002 0.000 -0.0002 0.000	0 1.000 1 0 1.000 1 1 1.000 1 1 1.000 1 1 1.000 1 2 1.000 1 2 1.000 1 2 0.999 1 2 0.998 1 2 0.999 1 2 1.000 1 2 1.000 1 2 1.000 1 2 1.000 1 2 1.000 1
42 0.68061E+02 0.1249 -0.6783 43 0.44037E+02 0.1472 0.994 44 0.62202E+02 0.1723 0.9014 45 0.97813E+02 0.1954 -0.253 46 0.10238E+03 0.2146 0.6382 47 0.82403E+02 0.2301 -0.424 48 0.80819E+02 0.2419 0.5402 49 0.96046E+02 0.2419 0.5402 51 0.49928E+02 0.2487 0.489 51 0.49928E+02 0.2487 -0.523 52 0.15088E+02 0.2487 -0.523 53 0.58935E+02 0.2427 -0.523 53 0.58935E+02 0.2197 -0.4402 55 0.67843E+02 0.1944 0.6688 55 0.67843E+02 0.1788 0.909 57 0.27019E+02 0.1768 0.909	27.6729 0.000 28.8200 0.000 30.0640 0.001 31.3741 0.001 32.6210 0.002 33.6258 0.002 34.1664 0.003 34.1664 0.003 33.3551 0.002 31.9549 0.002 29.3929 0.001 229.3929 0.001 31.5278 0.001 31.5278 0.001 33.3551 0.002 33.3551 0.002 33.35	9 0 1847 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0	205 0.0065 -0.0027 442 0.0141 -0.0020 275 0.0088 -0.0020 159 0.0025 -0.0026 159 0.0051 -0.0035 324 0.0131 -0.0041 412 0.0131 -0.0042 216 0.0068 -0.0035 057 0.0018 -0.0035 057 0.0018 -0.0036 414 0.0132 -0.0028 414 0.0132 -0.0028 414 0.0132 -0.0028 414 0.0132 -0.0028 414 0.0132 -0.0028	0.0000 0.0009 0.0000 0.0013 0.0000 0.0017 0.0000 0.0023 0.0000 0.0029 0.0000 0.0029 0.0000 0.0029 0.0000 0.0020 0.0000 0.0013 0.0000 0.0013	-0.0001 0.000 -0.0002 0.000 -0.0003 0.000 -0.0001 0.000 -0.0002 0.000 -0.0002 0.000 -0.0004 0.000 -0.0006 0.000 -0.0005 0.000 -0.0005 0.000 -0.0005 0.000 -0.0005 0.000 -0.0005 0.000 -0.0005 0.000 -0.0005 0.000	13 0 998 1 33 0 999 1 33 0 999 1 4 1 000 1 4 1 000 1 4 1 000 1 5 0 999 1 5 0 999 1 6 0 998 1 7 0 998 1 7 0 998 1 7 0 999 1 8 1 000 1 8 1 000 1 9 1 000 1
1.01165 1.01154 1.01053 0.98646 0.98579 0.98621 NORMALIZED RADIAL VELOCITIES -0.00281 -0.00301 -0.00303		1639 1.00385 3994 0.99295 1298 -0.00294	0.99635 1.0001	0 1.00369 1	.99240 0.9899 .00671 1.0091	6 1.01076
-0.00191 -0.00124 -0.00064 NORMALIZED RING MASSES 1.00000 1.00000 1.00000	1.00000 1.0)030 -0.00038 0000 1.00000	-0.00064 -0.0011 1.00000 1.0000	3 -0.00158 -0 0 1.00000 1	.00189 -0.0021	11 -0.00242 10 1.00000
1.00000 1.00000 1.00000 RING RADIUS DIVIDED BY A 0.33865 0.34001 0.34098 0.38115 0.38544 0.38178	0.34370 0.3	0000 1.00000 4779 0.34841 5707 0.36024	0.35167 0.3583	4 0.36430 0	.00000 1.0000 .36950 0.3747 .33889 0.3385	7 0.37988

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A versatile large-signal, two-dimensional computer program is used by NASA to model coupled-cavity traveling-wave tubes (TWT's). In this model, the electron beam is divided into a series of disks, each of which is further divided into axially symmetric rings which can expand and contract. The trajectories of the electron rings and the radiofrequency (RF) fields are determined from the calculated axial and radial space-charge, RF, and magnetic forces as the rings pass through a sequence of cavities. By varying electrical and geometric properties of individual cavities, the model is capable of simulating severs, velocity tapers, and voltage jumps. The calculated electron ring trajectories can be used in designing magnetic focusing and multidepressed collectors. The details of using the program are presented, and results are compared with experimental data.							
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